

Local analysis of dynamical systems and application to nonlinear waves

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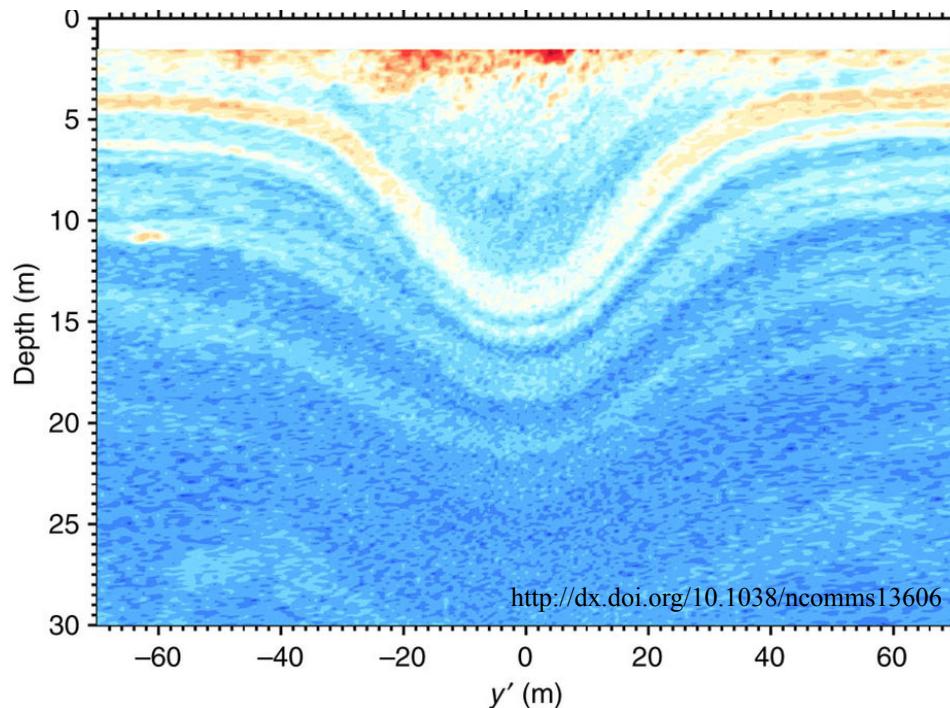
Méthodes de dynamique non linéaire pour l'ingénierie des structures

Solitary waves : spatially localized traveling waves

typical rest state perturbation $\approx \frac{1}{\cosh^2(x - ct)}$ or $\frac{\cos[q(x - ct)]}{\cosh(x - ct)}$ c: wave velocity

= balance between dispersion and nonlinearity

Internal solitary wave in a density-stratified fluid
(Saguenay Fjord), Bourgault et al, Nature Com. '16

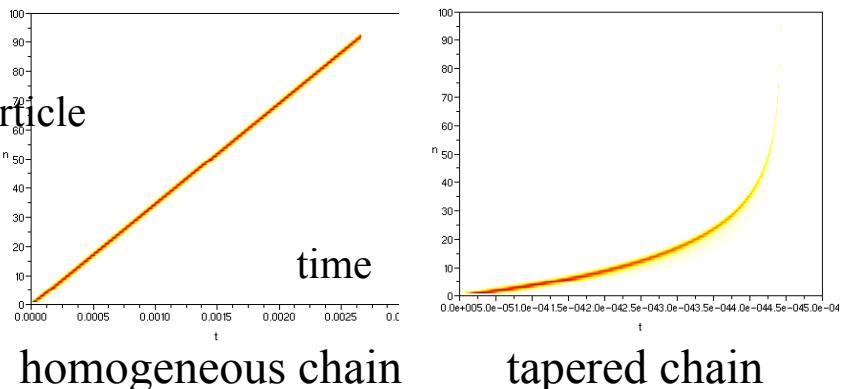
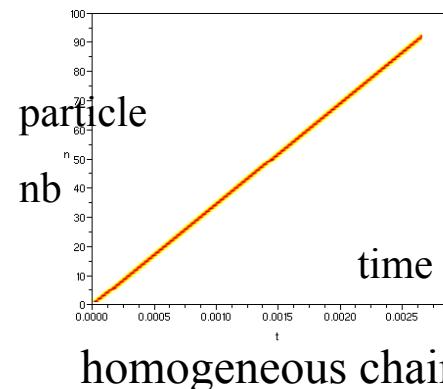


Impact in a chain of beads



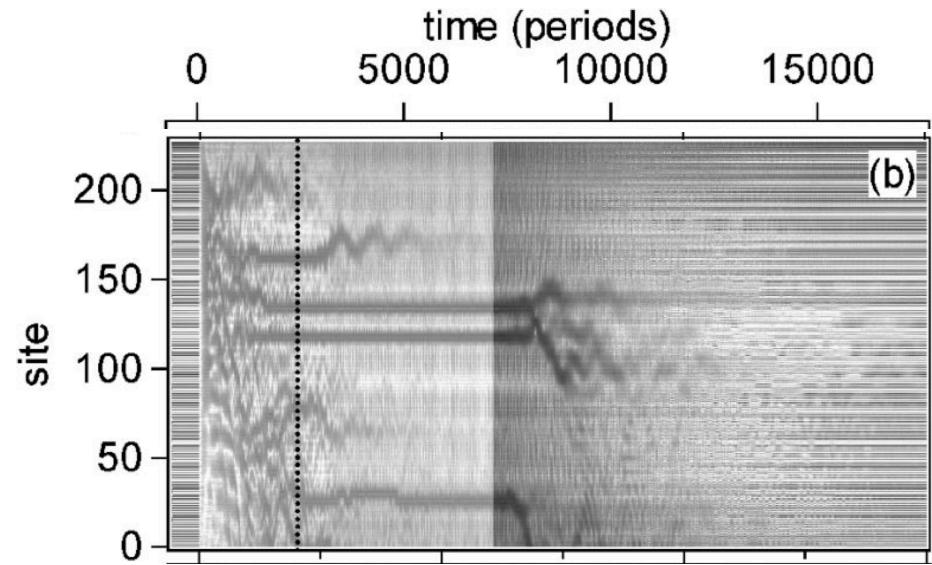
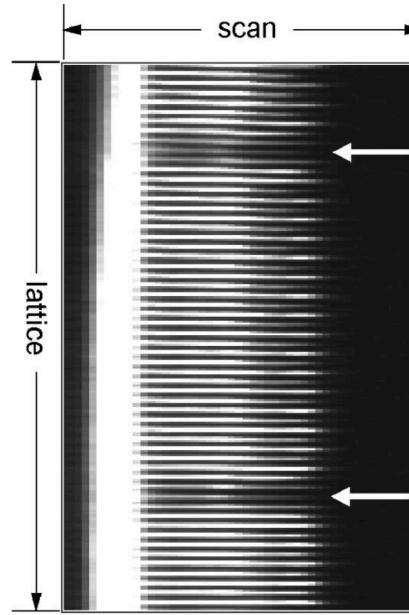
Melo et al, Phys Rev E 73, '06

Numerical computation of contact forces :



Breathers : spatially localized oscillations

Micromechanical oscillator array :
(Sato et al,
Chaos 13, '03)



typically : field \approx rest state + $\frac{\cos[q(x - c t) - \Omega t]}{\cosh(x - c t)}$ x: discrete or continuous space coordinate

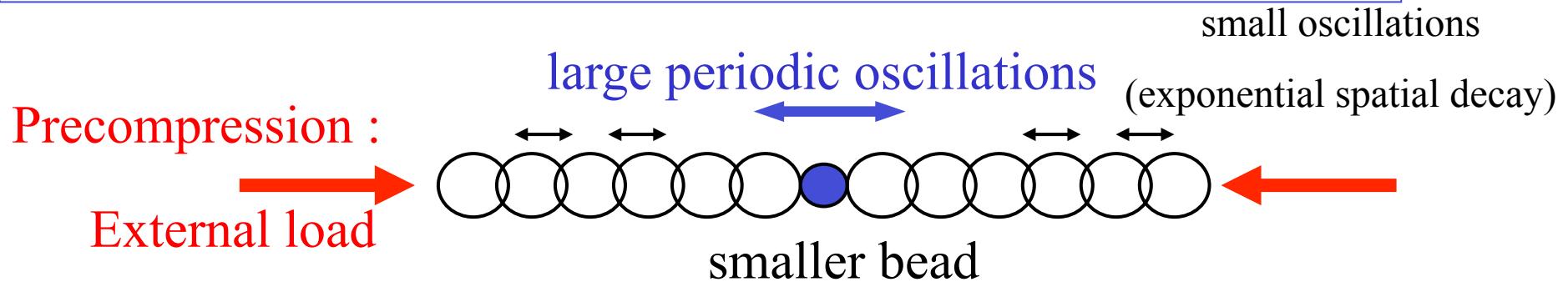
$c = 0 \Rightarrow$ static breather (time-periodic, or more general types of oscillations)

$c \neq 0 \Rightarrow$ traveling breather (\approx time-periodic in moving frame)

Static breathers common in nonlinear lattices (large discrete systems) :
bounded phonon band, spectral gaps, nonresonant breather frequencies

An application : nonlinear granular metamaterials

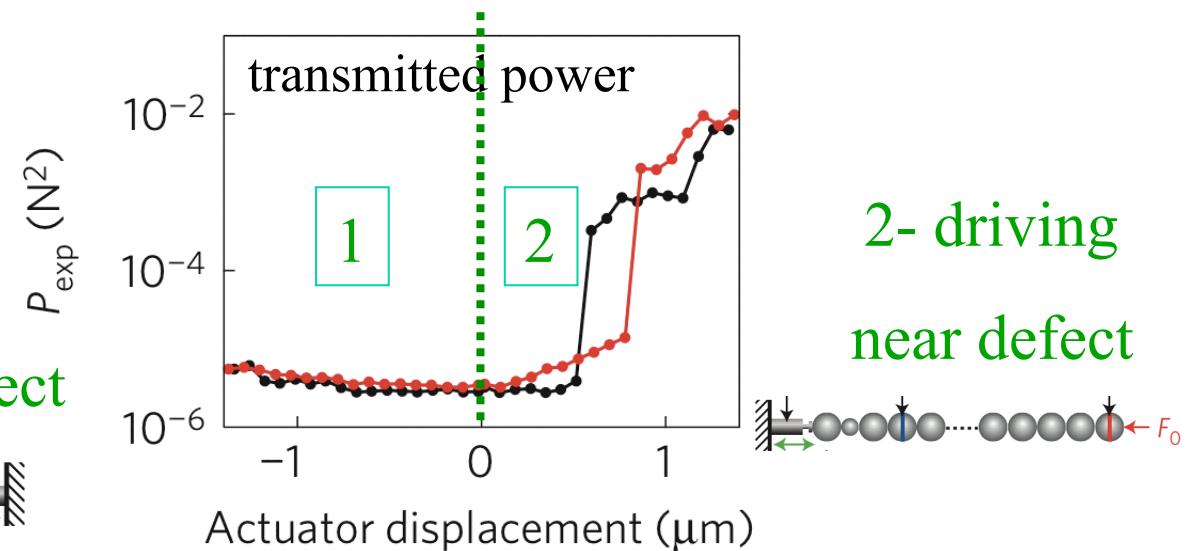
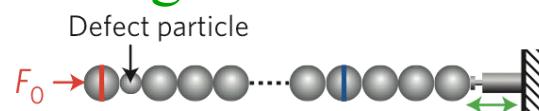
Localized nonlinear defect mode / breather in a granular chain :



Observation of « acoustic diode » behavior induced by defect mode :

Boechler, Theocharis and
Daraio, Nature Materials
10 (2011), 665-668.

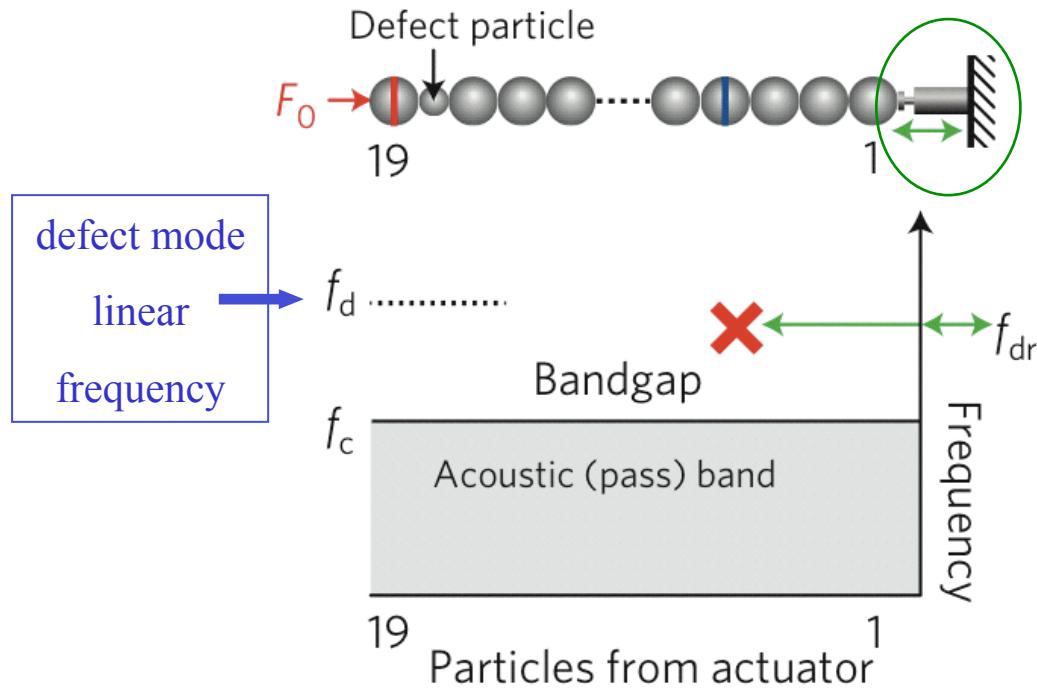
1- driving far from defect



2- driving
near defect

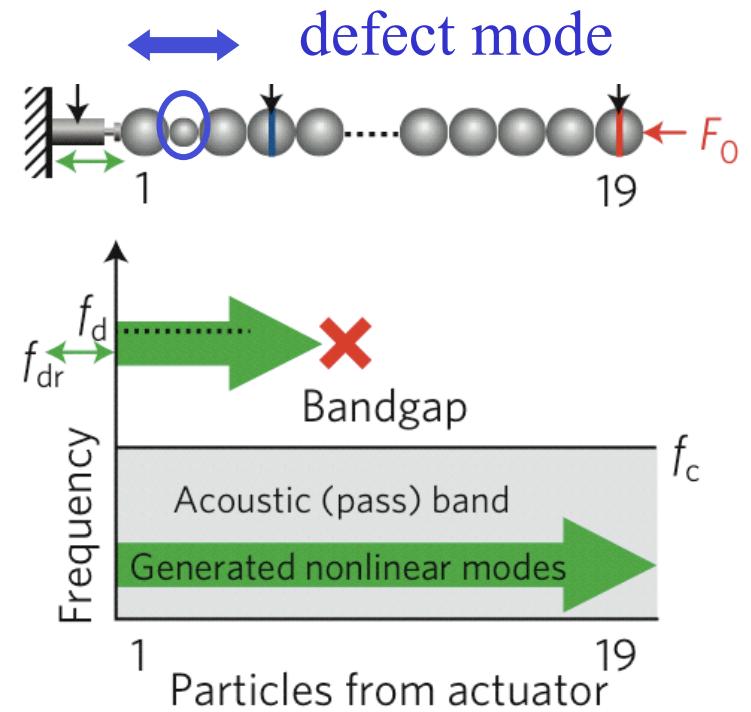
Link between defect mode and acoustic diode behavior :

1- driving far from defect



No periodic wavetrains, and
defect mode not excited
→ low energy transmission

2- driving near defect



defect mode unstable through
bifurcations (quasi-period. and chaos)
→ high energy transmission

Module outline :

I – introduction to nonlinear localized waves in lattices :

models supporting solitary waves, breathers

approx via amplitude PDE (application to precompressed granular chains)

II - center manifolds for maps (finite and infinite dim)

application : bifurcations of time-periodic breathers in lattices

III - center manifolds for differential equations (finite and infinite dim)

bifurcations of propagating localized waves in lattices

IV - modulation equations for strongly nonlinear spatial couplings

-- strongly nonlinear discrete / continuous NLS equations
-- application : breathers in uncompressed granular chains

I – Introduction to nonlinear localized waves in lattices

Outline :

- 1 -- Fermi-Pasta-Ulam model, application to granular chains
- 2 -- solitary waves, Korteweg-de Vries (KdV) approximation
- 3 -- breathers, nonlinear Schrödinger (NLS) approximation,
application to oscillators chains

1 - Fermi-Pasta-Ulam model and applic. to granular chains

Fermi-Pasta-Ulam (FPU) lattice (E.Fermi, J.Pasta and S.Ulam, 1955) :

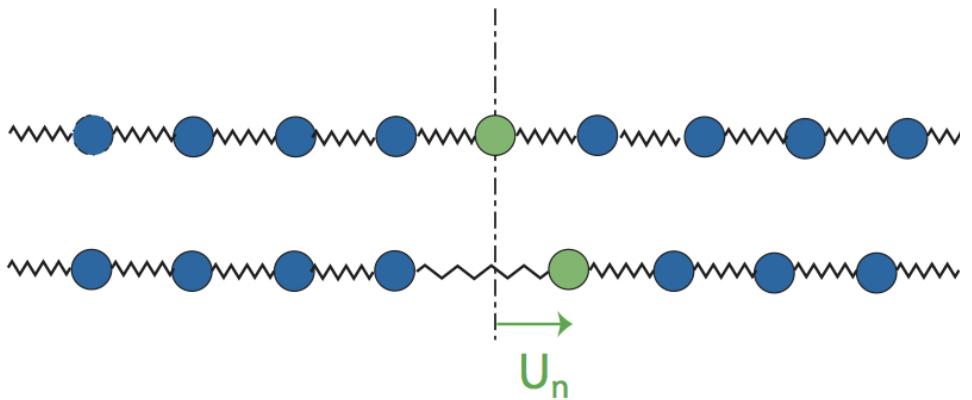
$$\frac{d^2 u_n}{dt^2} = V'(u_{n+1} - u_n) - V'(u_n - u_{n-1}), \quad n \in \mathbb{Z}$$

Infinite-dimensional hamiltonian system

$$H = \sum_{n=-\infty}^{+\infty} \frac{1}{2} \left(\frac{du_n}{dt} \right)^2 + V(u_{n+1} - u_n), \quad u_n(t) \in \mathbb{R}.$$

Anharmonic interaction potential V : $V'(0) = 0, V''(0) > 0$.

- mechanical mass-spring system :



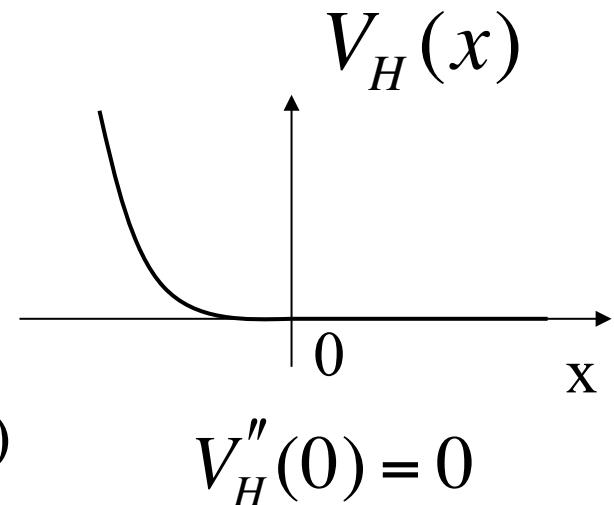
- ionic crystals (Sievers and Takeno 1988)

FPU models for granular chains

Model 1 : fully nonlinear Hertz potential

$$\ddot{x}_n = V'_H(x_{n+1} - x_n) - V'_H(x_n - x_{n-1})$$

$$V_H(x) = \frac{1}{\alpha+1} (-x)_+^{\alpha+1}, \quad \alpha > 1, \quad (a)_+ = \text{Max}(a, 0)$$

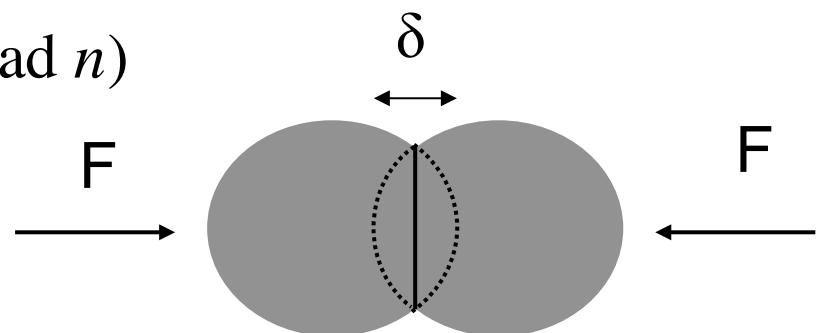


Hertz potential for $\alpha=3/2$:

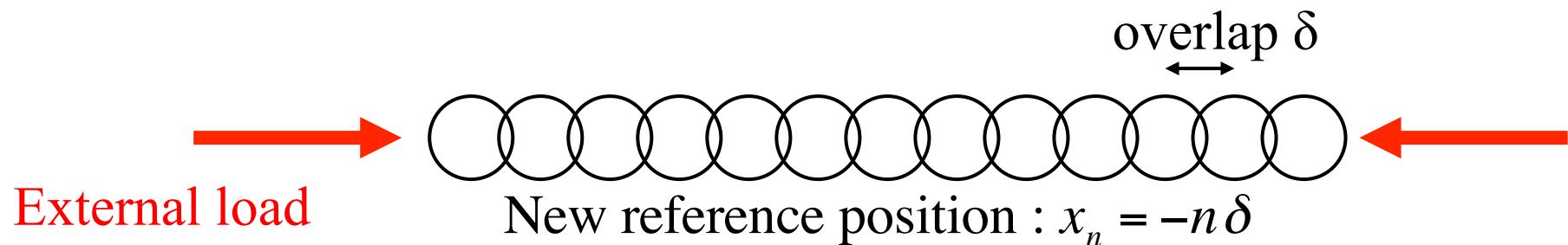
granular chain : (x_n = displacement of bead n)



contact force between two
spherical beads : $F \approx \delta^{3/2}$



Model 2 : Hertzian interaction potential including precompression



displacement $u_n(t)$
from reference position

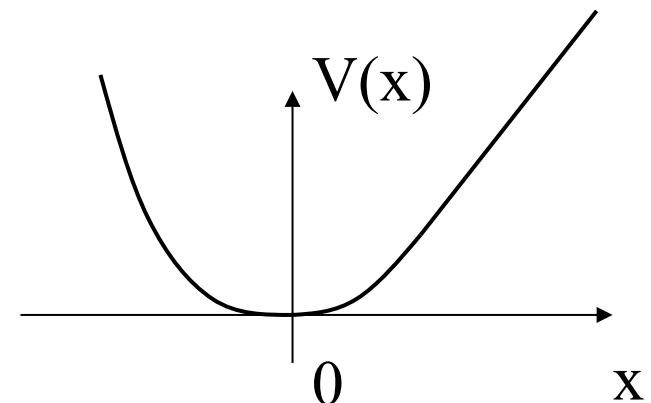
$$\ddot{u}_n = V'(u_{n+1} - u_n) - V'(u_n - u_{n-1}) \quad (\text{FPU})$$

Renormalized potential:

$$V(x) = V_H(x - \delta) - V'_H(-\delta)x - V_H(-\delta)$$

$$V''(0) = \alpha \delta^{\alpha-1} := c_s^2 > 0$$

c_s = "sound velocity"



Linear approximation for small amplitude oscillations :

$$V(x) \approx \frac{c_s^2}{2} x^2$$

$$\ddot{u}_n = c_s^2 (u_{n+1} - 2u_n + u_{n-1})$$

$$n \in \mathbb{Z}$$

Linear periodic traveling waves ("Phonons") :

$$u_n(t) = a \cos(qn - \omega_q t + \varphi)$$

$$\omega_q = \pm 2c_s |\sin(q/2)| \quad (\text{dispersion relation})$$

Dispersive equation : the group velocity $\frac{d\omega_q}{dq}$ varies with q

→ localized initial conditions disperse : $\|(u(t), \dot{u}(t))\|_\infty \leq \frac{C}{(1+|t|)^{1/3}} \|(u(0), \dot{u}(0))\|_1$
 (Mielke and Patz, Appl. Anal. 89, 2010) $u(t) = (u_n(t))_n$

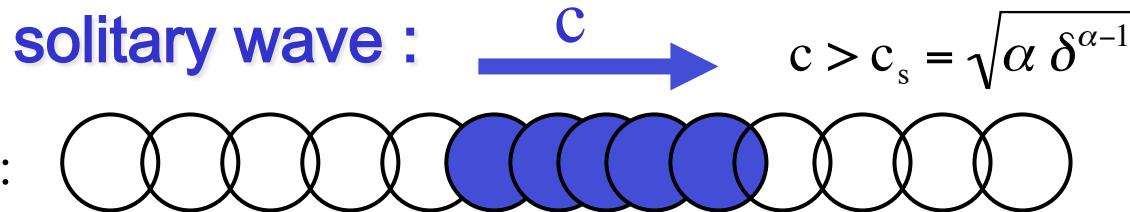
→ no robust localized waves (solitary waves, breathers)
 in homogeneous (or periodic) linear chains !

2 – Solitary waves and KdV approximation

In FPU: nonlinearity compensates linear dispersion \Rightarrow solitary waves

granular chain

with precompression :



At small
amplitudes :

$$u_{n+1}(t) - u_n(t) \approx - \frac{c - c_s}{\text{ch}^2[\sqrt{c - c_s} (n - c t)]}$$

Mathematical theory of FPU solitary waves :
existence theorems, stability, continuum limit (KdV, error bounds), two-soliton solutions, generalized solitary waves with dispersive « tails »...

Kalyakin ('89), Friesecke and Wattis ('94), Smets and Willem ('97), Friesecke and Pego ('99, '02, '04), Schneider and Wayne ('00), Iooss ('00), Pankov and Pfügler ('00), Friesecke and Matthies ('02), Treschev ('04), Iooss and G.J. ('05), Bambusi and Ponno ('05, '06), Hoffman and Wayne ('08, '09), G.J. and Pelinovsky ('14)...

Approximate solitary wave solutions in the KdV continuum limit

$$\ddot{u}_n = V'(u_{n+1} - u_n) - V'(u_n - u_{n-1}) \quad (\text{FPU})$$

Assume $V''(0) > 0$, $V^{(3)}(0) \neq 0$

Renormalization (rescaling of t and u) $\Rightarrow V''(0) = 1$, $V^{(3)}(0) = -1/2$

Ex: rescaled dynamical equations for a granular chain with precompression
(relative displacements small wrt precompression)

$$\ddot{u}_n = \frac{2}{3} \left((1 + u_{n-1} - u_n)^{3/2} - (1 + u_n - u_{n+1})^{3/2} \right)$$

Taylor expansion of V and truncation at order 3 :

$$\ddot{u}_n - \Delta u_n = -\frac{1}{4} \left((u_{n+1} - u_n)^2 - (u_n - u_{n-1})^2 \right)$$

$$\Delta u_n = u_{n+1} - 2u_n + u_{n-1}$$

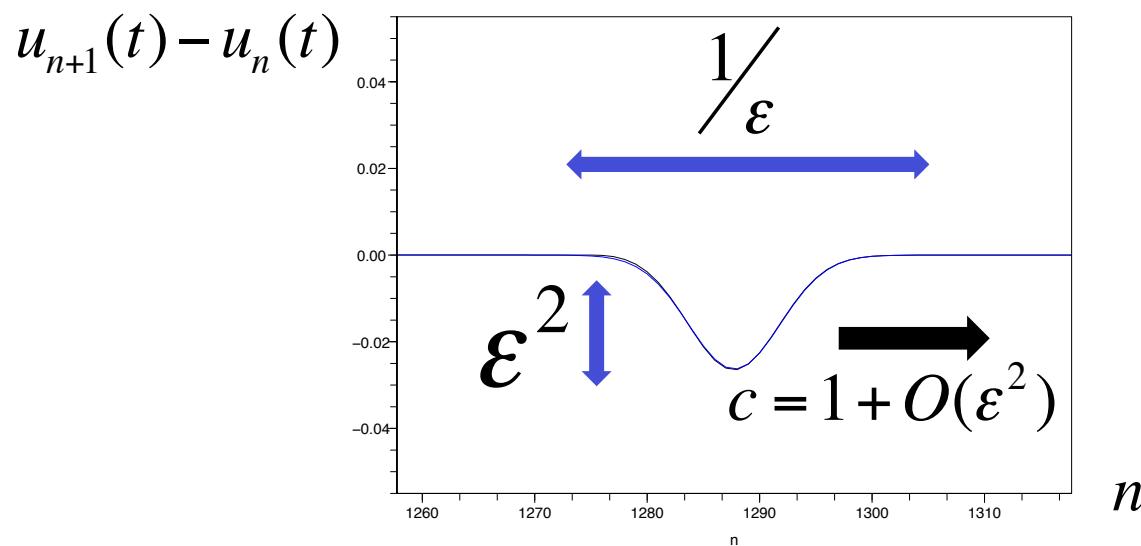
Approximate solitary wave solutions in the KdV continuum limit

$$\ddot{u}_n - \Delta u_n = -\frac{1}{4} \left((u_{n+1} - u_n)^2 - (u_n - u_{n-1})^2 \right)$$

$$\Delta u_n = u_{n+1} - 2u_n + u_{n-1}$$

⇒ Ansatz for small amplitude long waves : ε : small parameter

$$u_n(t) = \varepsilon u(\xi, T) + \varepsilon^2 R(\xi, T) \quad \xi = \varepsilon(n - t) \quad T = \varepsilon^3 t$$



$$\left. \begin{array}{l} \partial_t^2 = \varepsilon^2 \partial_\xi^2 - 2\varepsilon^4 \partial_{\xi T}^2 + O(\varepsilon^6) \\ \Delta = \varepsilon^2 \partial_\xi^2 + \frac{1}{12} \varepsilon^4 \partial_\xi^4 + O(\varepsilon^6) \end{array} \right\} (\partial_t^2 - \Delta) u_n = -2\varepsilon^5 \partial_{\xi T}^2 u - \frac{1}{12} \varepsilon^5 \partial_\xi^4 u + O(\varepsilon^6)$$

$$(u_{n+1} - u_n)^2 - (u_n - u_{n-1})^2 = \varepsilon^5 \partial_\xi \left[(\partial_\xi u)^2 \right] + O(\varepsilon^6)$$

Neglect $O(\varepsilon^6)$ terms in :

$$\ddot{u}_n - \Delta u_n = -\frac{1}{4} \left((u_{n+1} - u_n)^2 - (u_n - u_{n-1})^2 \right)$$

→ PDE satisfied by $y(\xi, \tau) = -\partial_\xi u$ ($\tau = \frac{T}{24}$)

Korteweg-de Vries (KdV) equation :
nonlinearity (Burgers) + dispersion (Airy)

$$\partial_\tau y + 6y \partial_\xi y + \partial_\xi^3 y = 0$$

Traveling wave solutions of KdV : $y(\xi, \tau) = z(\eta)$ $\eta = \xi - v\tau$

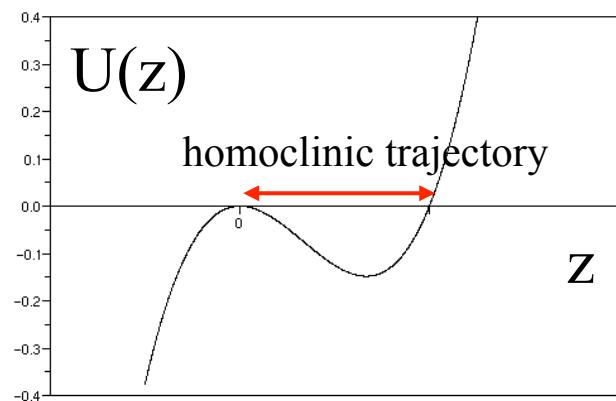
⇒ Stationary KdV equation (integrable ODE):

$$-v\partial_\eta z + 6z\partial_\eta z + \partial_\eta^3 z = 0$$

By integrating once (and canceling the integration constant) :

$$\partial_\eta^2 z + U'(z) = 0, \quad U(z) = z^3 - \frac{v}{2}z^2$$

case $v > 0$



KdV soliton solution :

$$z(\eta) = \frac{v}{2} \operatorname{sech}^2\left(\sqrt{\frac{v}{4}} \eta\right)$$

We introduce the wave velocity : $c = 1 + \frac{\nu}{24} \varepsilon^2$

The KdV soliton $z(\eta) = \frac{\nu}{2} \operatorname{sech}^2\left(\sqrt{\frac{\nu}{4}} \eta\right)$ yields the following approximate solitary wave solutions for the granular chain, parameterized by $c > 1$:

$$u_{n+1}(t) - u_n(t) = - \frac{12(c-1)}{\operatorname{ch}^2[\sqrt{6(c-1)}(n - ct)]} \quad (c_s = 1)$$

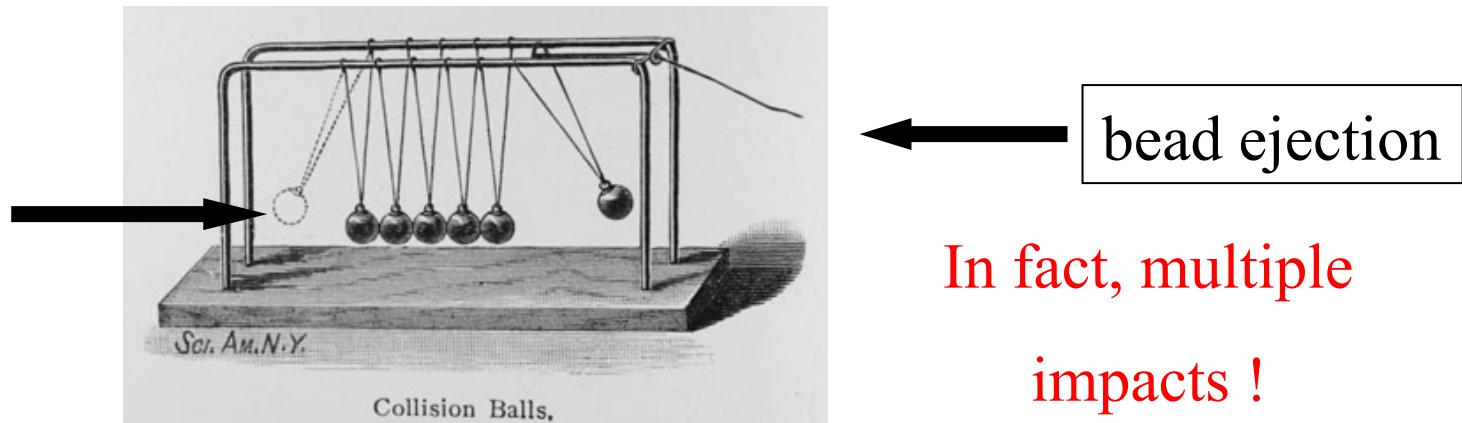
Qualitative properties : small amplitude « long waves »

- supersonic wave velocity $c > c_s$
- wave amplitude $\approx c - c_s$
- exponential decay, wave width $\approx 1/\sqrt{c - c_s}$

Solitary waves without precompression

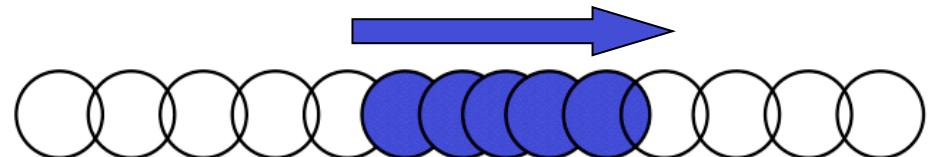
Solitary wave in Newton's cradle :

Impacting
bead



In fact, multiple
impacts !

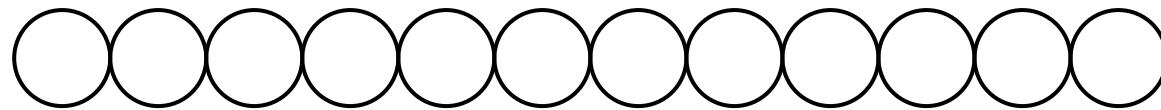
Nesterenko (84) :
propagation of a solitary wave



$$\text{contact forces} = f(n - c t), \quad \lim_{|\xi| \rightarrow \infty} f(\xi) = 0 \quad (\text{n: bead index})$$

Experimental studies of Nesterenko's solitary wave :
Lazaridi and Nesterenko (85), Coste, Falcon and Fauve (97), Falcon et al (98)

Solitary waves without precompression



← Reference
configuration

$$\ddot{x}_n = (x_{n-1} - x_n)_+^{3/2} - (x_n - x_{n+1})_+^{3/2}$$

Nesterenko's solitary wave (84) : formal continuum limit

Approximate solution with compact support :

$$x_n(t) = y(n - c t), \quad y'(\xi) \approx -c^4 \sin^4\left(\sqrt{\frac{2}{5}} \xi\right) \text{ for } 0 \leq \xi \leq \pi \sqrt{\frac{5}{2}}, \quad y'(\xi) = 0 \text{ elsewhere}$$

Solitary wave width = 5 balls → not a long wave

Mathematical results on solitary waves: existence, doubly-exponential decay

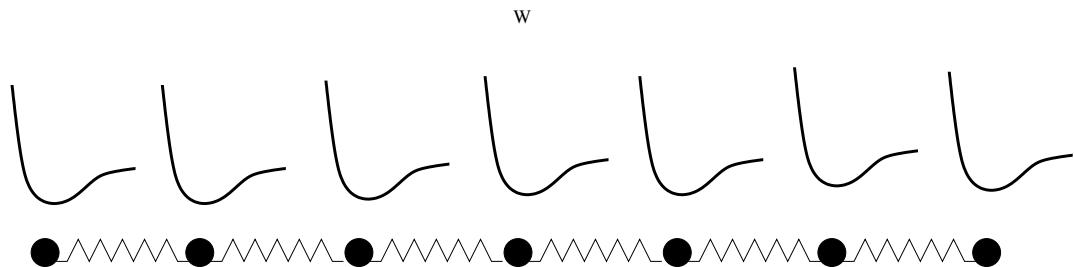
Friesecke and Wattis '94, MacKay '99, Ji and Hong '99,

English and Pego '05, Herrmann '10, Stefanov and Kevrekidis '12

3 – breathers in oscillator chains, continuum NLS approx

The excitation of discrete breathers can be enhanced by local confining potentials :

$$\ddot{x}_n + W'(x_n) = V'(x_{n+1} - x_n) - V'(x_n - x_{n-1})$$



$$V'(0) = W'(0) = 0, \\ V''(0) \geq 0, \quad W''(0) > 0$$

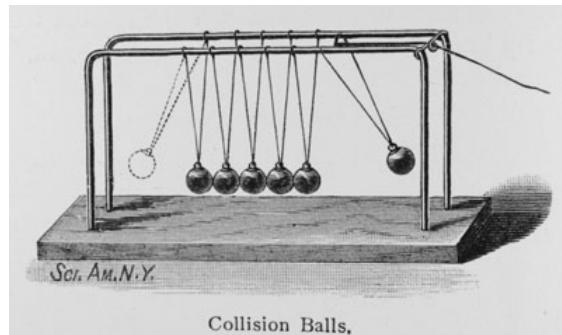
- V harmonic (linear discrete Laplacian) \Rightarrow Klein-Gordon lattice
- V anharmonic \Rightarrow mixed FPU / Klein-Gordon lattice

Example 1 : granular chain with local potential

$$\ddot{x}_n + \omega^2 x_n = (x_{n-1} - x_n)_+^{3/2} - (x_n - x_{n+1})_+^{3/2}$$

strongly nonlinear
coupling : $V''(0) = 0$

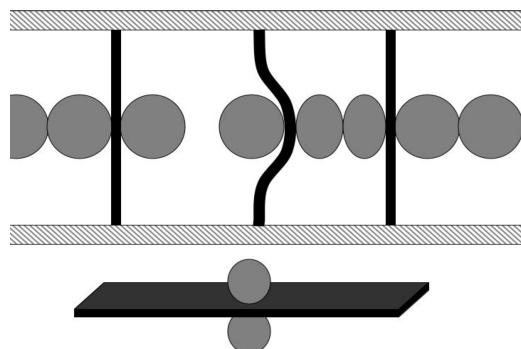
Classical Newton's
cradle :



\Rightarrow but $\omega \sim \frac{\text{bead collision time}}{\text{local oscillation period}} \ll 1$
 $\omega \sim 10^{-4}$ for impact velocity $\approx 1\text{m/s}$

Stiff attachments (plates) : $\omega \sim 1$

G.J., Kevrekidis, Cuevas '13

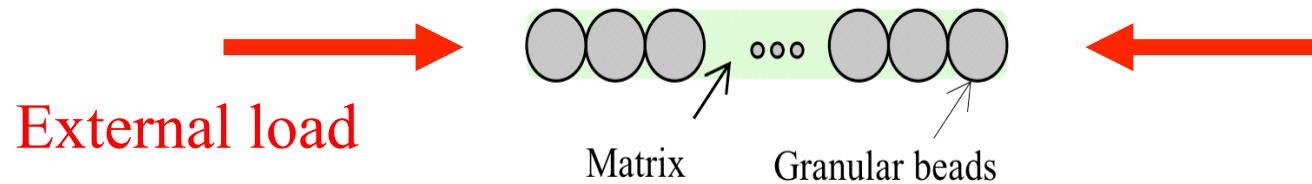


Beads in an elastic matrix :

Hasan et al, Granular Matter '15



Example 2 : precompressed granular chain with local potential

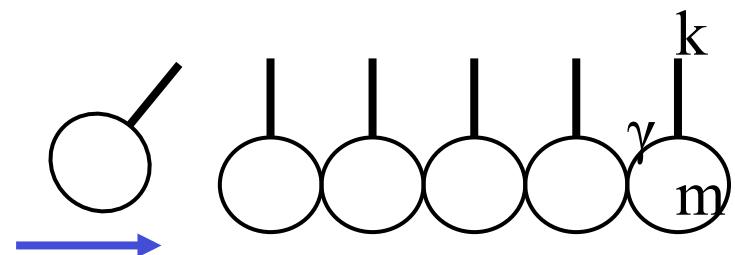


$$\ddot{u}_n + \omega^2 u_n = (1 + u_{n-1} - u_n)^{3/2} - (1 + u_n - u_{n+1})^{3/2}$$

$u_n(t)$ = displacement from reference position

The interaction potential involves a harmonic part : $V''(0) > 0$

Waves generated by an impact (G.J., Kevrekidis, Cuevas '13)



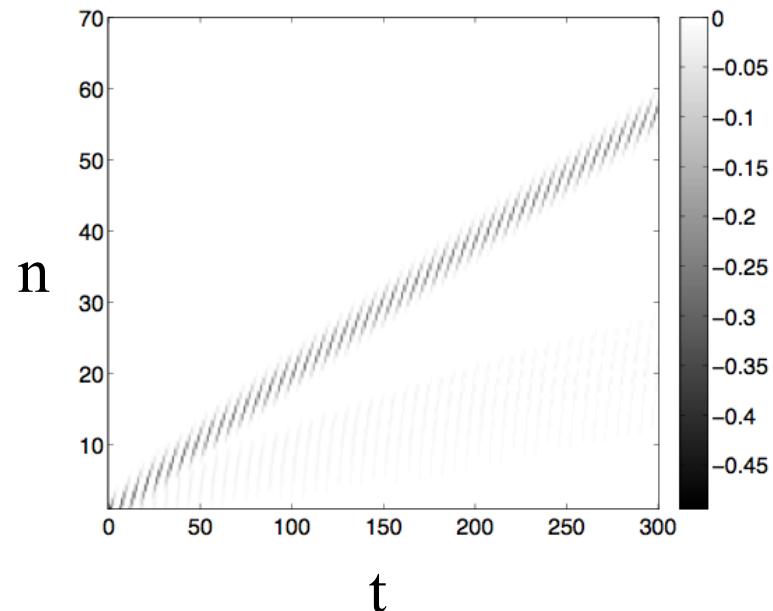
$$\text{initial velocity} \lesssim k^{5/2} m^{-1/2} \gamma^{-2}$$

Case 1 : harmonic local potential,
no precompression

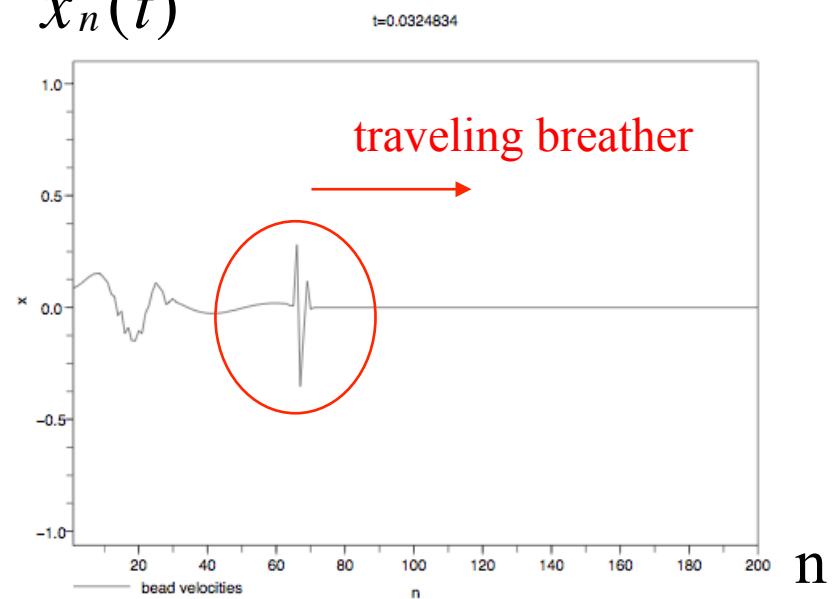
$$m \ddot{x}_n + k x_n$$

$$= \gamma (x_{n-1} - x_n)_+^{3/2} - \gamma (x_n - x_{n+1})_+^{3/2}$$

Contact forces = $-(x_n - x_{n+1})_+^{3/2}$



$$\dot{x}_n(t)$$



Waves generated by an impact

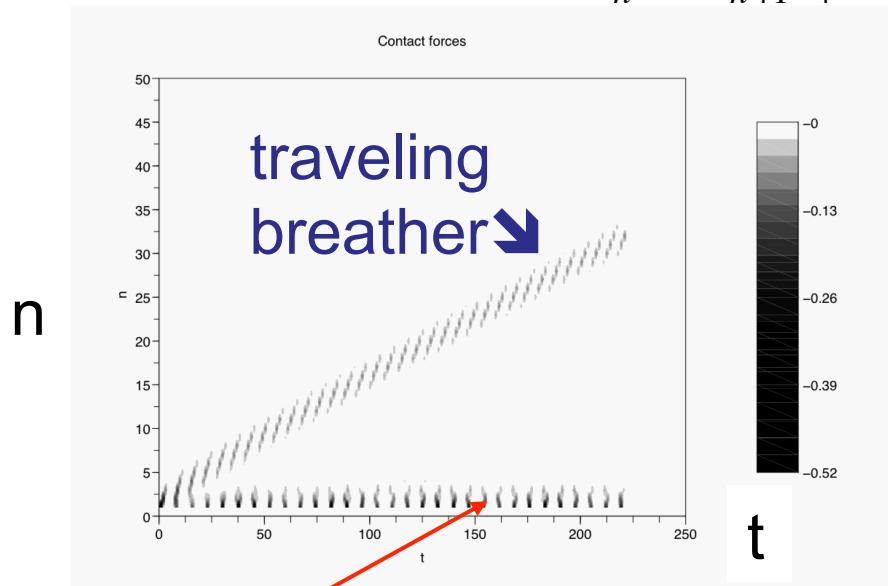
Case 2 : soft anharmonic local potential

$$\ddot{x}_n + x_n + s x_n^3 = (x_{n-1} - x_n)_+^{3/2} - (x_n - x_{n+1})_+^{3/2}$$

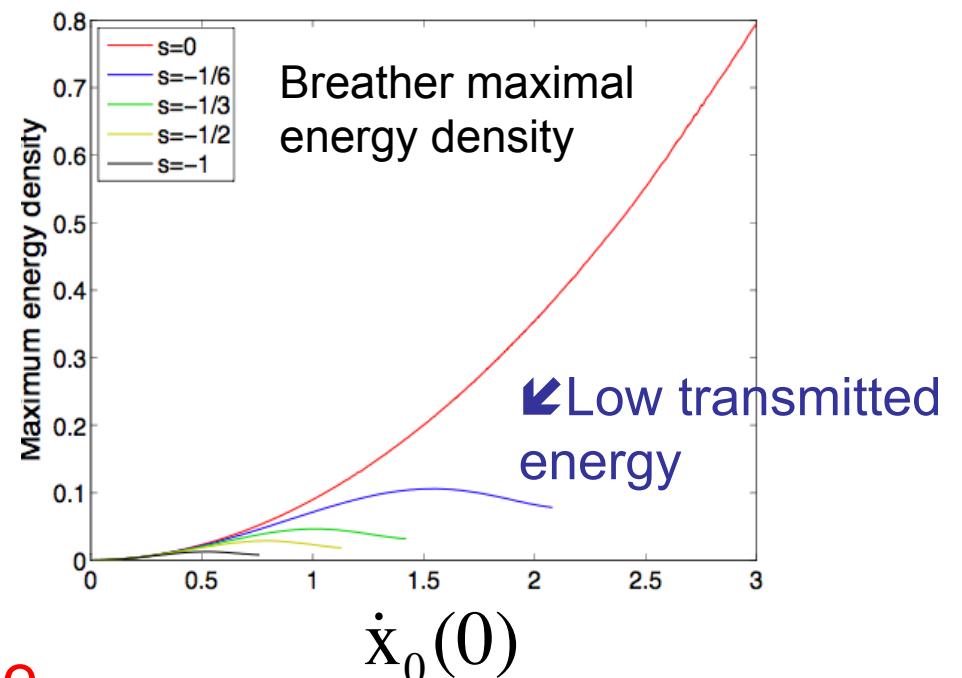
$s < 0$

Initial condition : $x_n(0) = 0$, $\dot{x}_0(0) > 0$, $\dot{x}_n(0) = 0$ for $n \geq 1$

Contact forces $= -(x_n - x_{n+1})_+^{3/2}$



Energy trapped as a surface mode



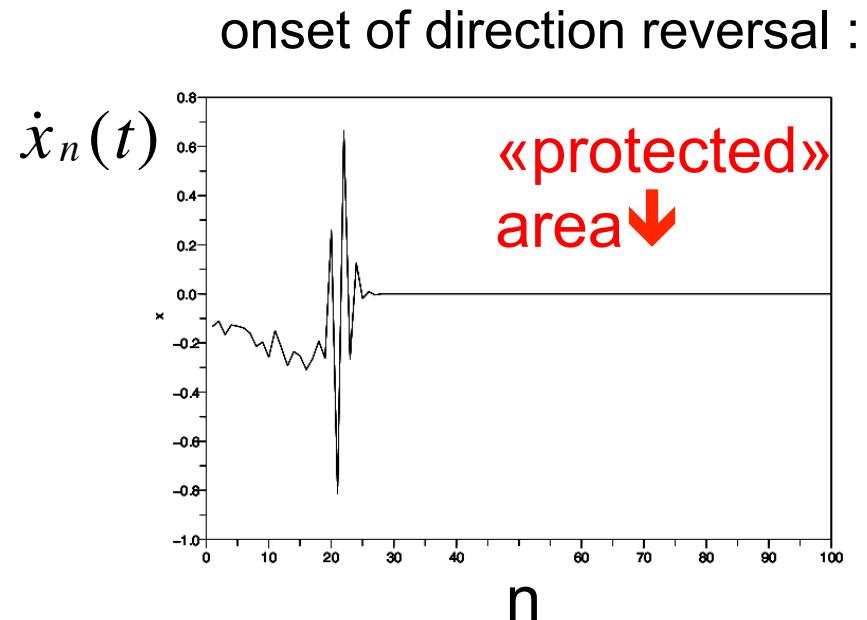
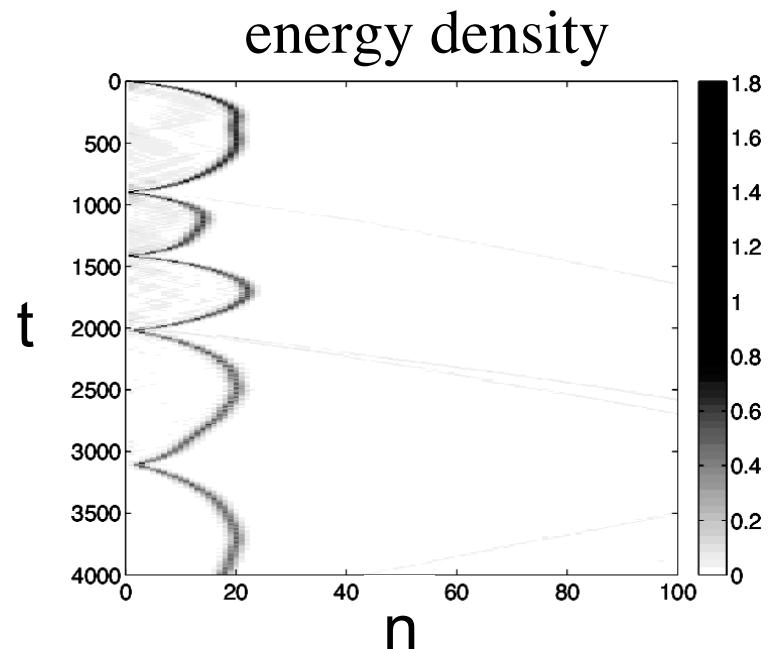
Waves generated by an impact

Case 3 :
hard local potential

$$\ddot{x}_n + x_n + x_n^3 = (x_{n-1} - x_n)_+^{3/2} - (x_n - x_{n+1})_+^{3/2}$$

Initial impact : $x_n(0) = 0$, $\dot{x}_0(0) = 1.9$, $\dot{x}_n(0) = 0$ for $n \geq 1$

- traveling breather
- breather pinning
- direction-reversal

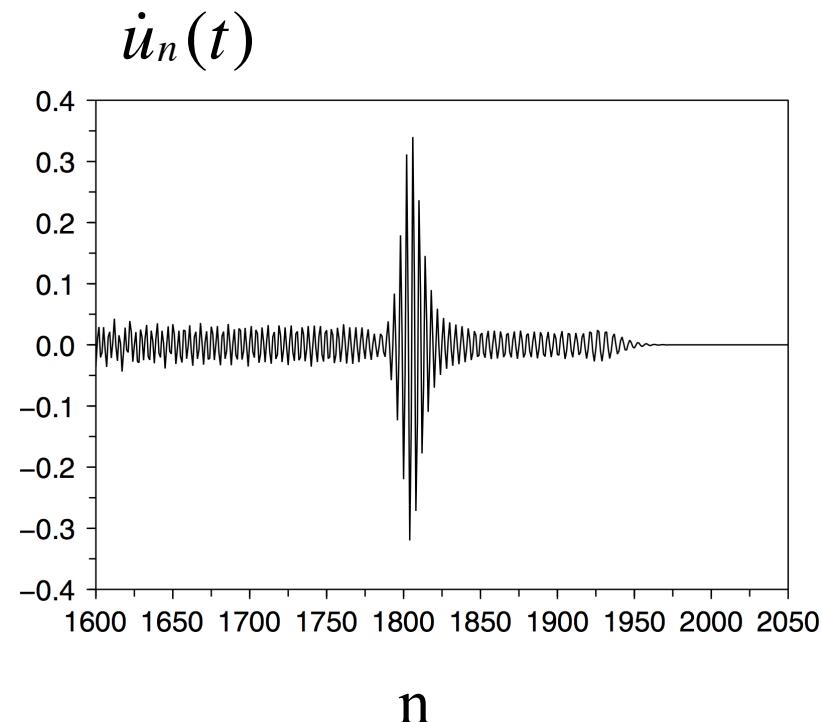
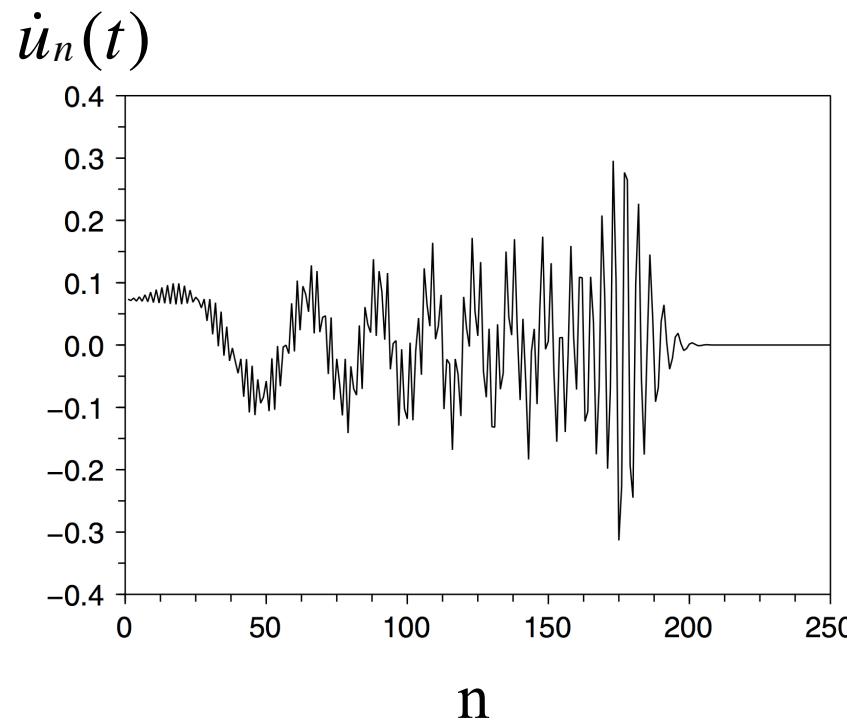


Waves generated by an impact

Case 4 : hard anharmonic local potential, precompression

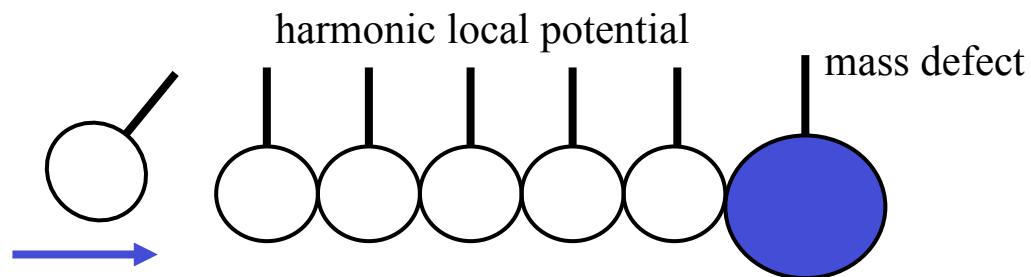
$$\ddot{u}_n + u_n + u_n^3 = (\delta + u_{n-1} - u_n)_+^{3/2} - (\delta + u_n - u_{n+1})_+^{3/2} \quad \delta = 1/2$$

⇒ traveling breather with oscillatory tail

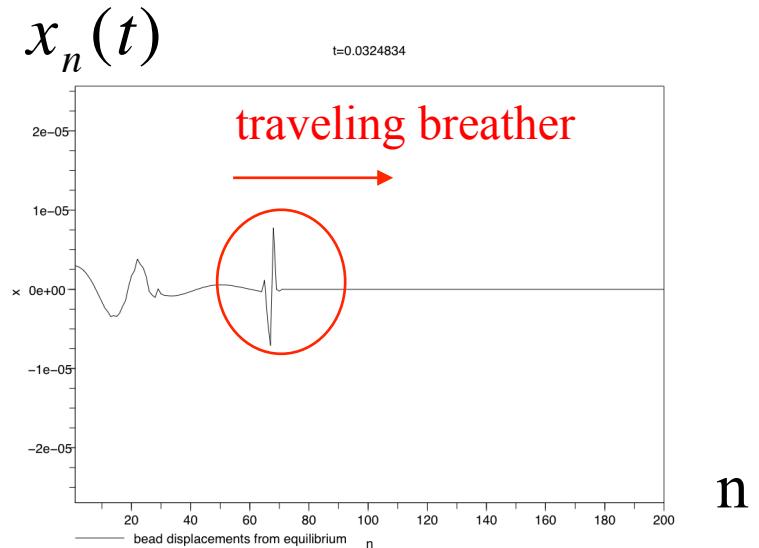
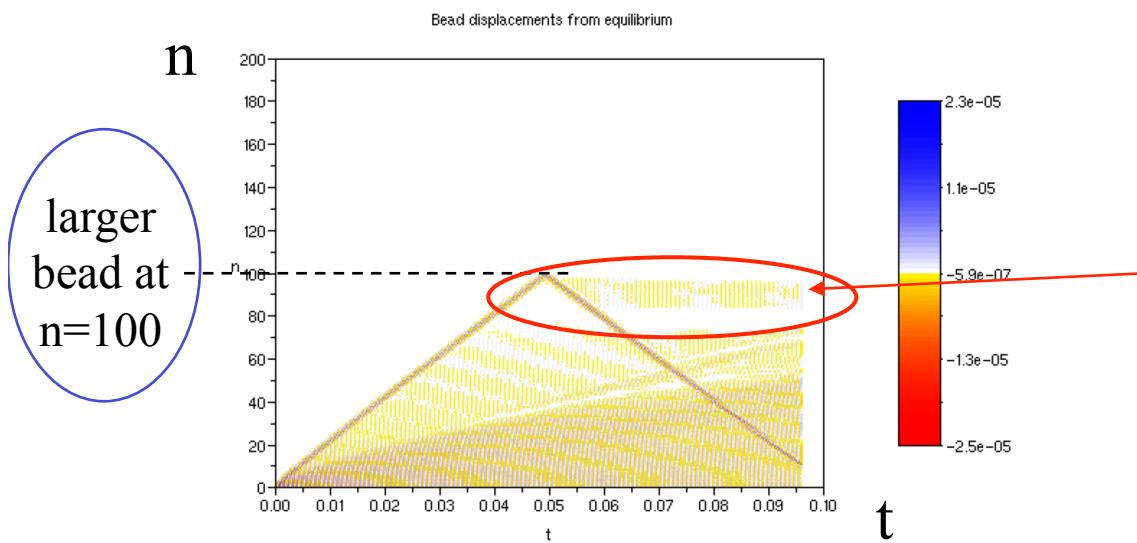


Mechanisms for static breather generation

1- Traveling breather-defect interaction



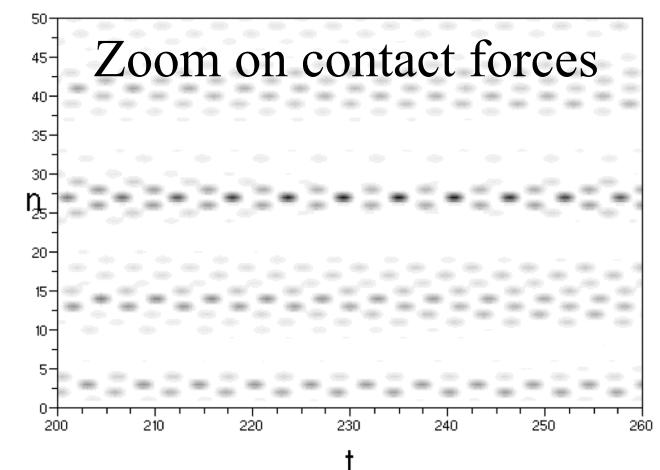
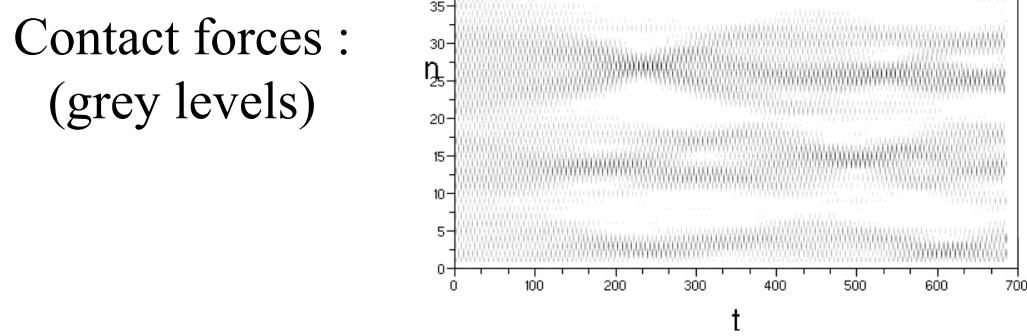
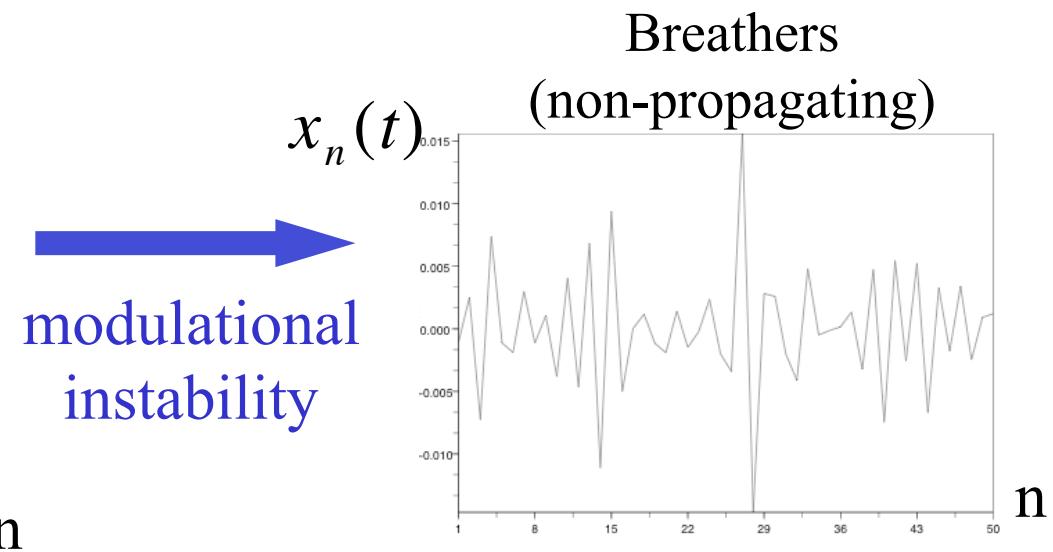
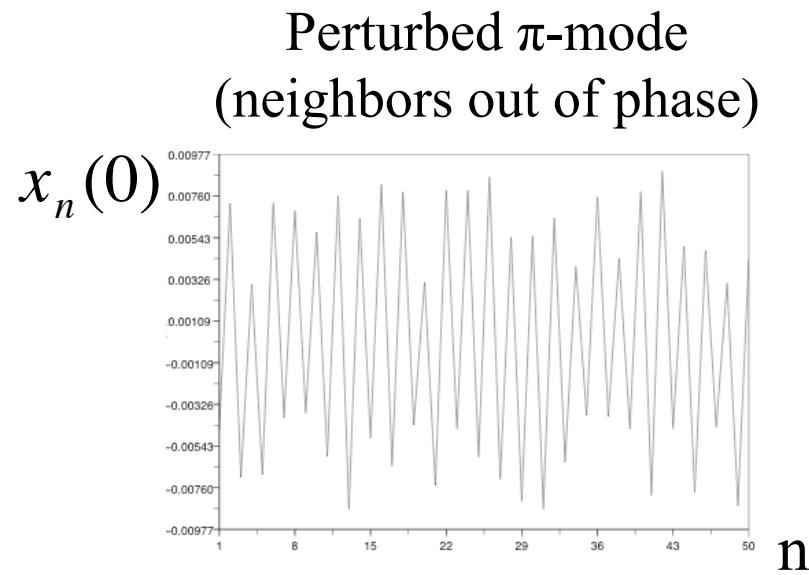
mass displacement $x_n(t)$



Some vibrational energy
remains trapped !
= static breather,
or nonlinear defect mode

Mechanisms for static breather generation

2 - Modulational instability of a nonlinear mode (homogeneous chain)



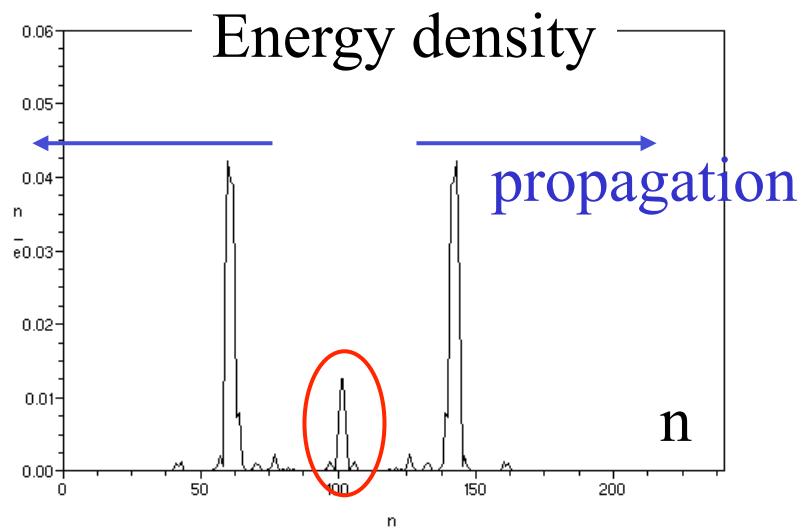
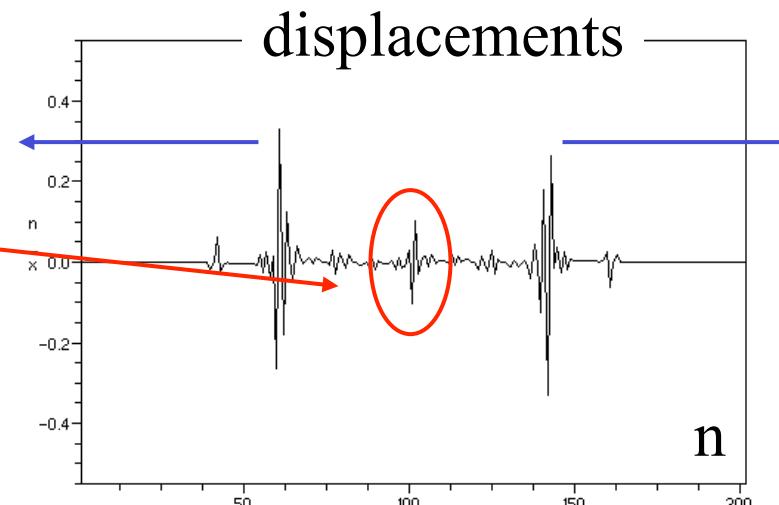
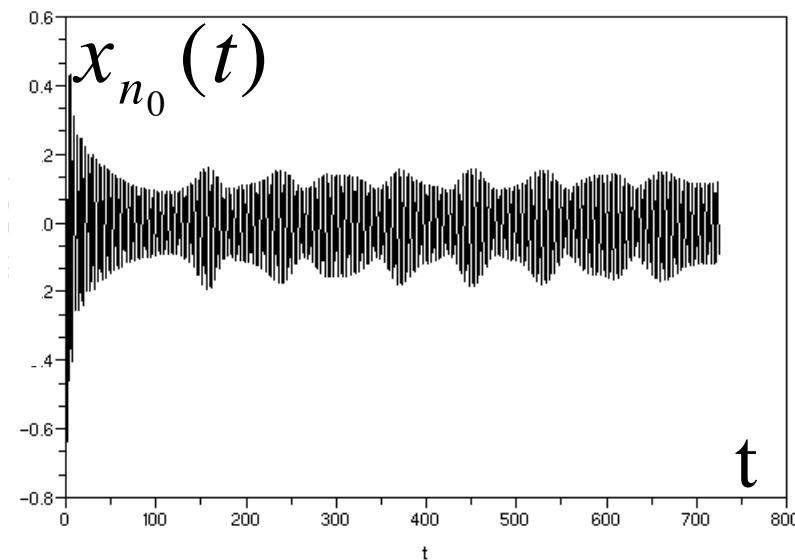
Mechanisms for static breather generation

3 - Initial compression of two beads

($n = n_0$ and $n = n_0 + 1$)

Breather
(non-propagating)

Initially compressed bead



Analysis of vibrational localization using modulation theory :
 ⇒ PDE approximating evolution of small well-prepared initial conditions
 ⇒ Formal derivation of the nonlinear Schrödinger (NLS) equation

$$\frac{d^2 u_n}{dt^2} + W'(u_n) = V'(u_{n+1} - u_n) - V'(u_n - u_{n-1}), \quad n \in \mathbb{Z}$$

$$V'(0) = W'(0) = 0, \quad V''(0) > 0, W''(0) > 0$$

Linear case : $V(u) = \frac{v_1}{2}u^2, \quad W(u) = \frac{w_1}{2}u^2$

$$u_n(t) = A e^{i(qn - \omega t)} + c.c.$$

$$\Rightarrow \text{dispersion relation} \quad \omega^2 = 4v_1(\sin(q/2))^2 + w_1$$

Weakly nonlinear case :

NLS limit : approximate solutions = modulated plane waves

Remoissenet (86), Konotop (96)

$$\ddot{u}_n + W'(u_n) = V'(u_{n+1} - u_n) - V'(u_n - u_{n-1})$$

$$u_n(t) = \epsilon A(\epsilon^2 t, \epsilon(n - c t)) e^{i(qn - \omega t)} + c.c. + O(\epsilon^2)$$

$$u_n(t) = \sum_{k \geq 1} \epsilon^k \sum_{p=-k}^k A_{k,p}(s, \xi) e^{ip(qn - \omega t)}$$

Slow variables : $s = \epsilon^2 t, \quad \xi = \epsilon(n - c t)$

$$\Rightarrow c = \omega'(q)$$

⇒ NLS equation for the envelope $A(s, \xi)$

$$i \partial_s A = -\frac{1}{2} w''(q) \partial_\xi^2 A + h |A|^2 A,$$

h depends on q and the derivatives of V, W at 0 up to order 4.

Gianoulis and Mielke (2004,2006) :

validity of NLS over times of order $O(1/\epsilon^2)$.

$$\frac{d^2 u_n}{dt^2} + W'(u_n) = V'(u_{n+1} - u_n) - V'(u_n - u_{n-1}), \quad n \in \mathbb{Z}$$

V, W sufficiently regular, $V'(0) = W'(0) = 0, V''(0) > 0, W''(0) > 0$

$$i \partial_s A = -\frac{1}{2} w''(q) \partial_\xi^2 A + h |A|^2 A, \quad (\text{NLS})$$

THM : Let $A : [0, \tau_0] \times \mathbb{R} \rightarrow \mathbb{C}$ be a solution of (NLS) with $A(0, \cdot) \in H^5(\mathbb{R})$ and

$$\{U_\epsilon^A(t)\} = \epsilon A(\epsilon^2 t, \epsilon(n - ct)) e^{i(qn - \omega t)} + c.c., \quad c = \omega'(q)$$

For small enough ϵ , if $\|(\{u(0)\}, \{\dot{u}(0)\}) - (\{U_\epsilon^A(0)\}, \{\dot{U}_\epsilon^A(0)\})\|_{\ell_2 \times \ell_2} \leq \epsilon^{3/2}$
 then for all $t \in [0, \tau_0/\epsilon^2]$

$$\|(\{u(t)\}, \{\dot{u}(t)\}) - (\{U_\epsilon^A(t)\}, \{\dot{U}_\epsilon^A(t)\})\|_{\ell_2 \times \ell_2} \leq C \epsilon^{3/2}$$

FPU case (W=0) : Tsurui '72 (derivation of NLS), Schneider '10 (error bounds)

Formal existence of spatially localized oscillations

$$\frac{d^2 u_n}{dt^2} + W'(u_n) = V'(u_{n+1} - u_n) - V'(u_n - u_{n-1}), \quad n \in \mathbb{Z}$$

$$u_n(t) = \epsilon A(\epsilon^2 t, \epsilon(n - c t)) e^{i(qn - \omega t)} + c.c. + O(\epsilon^2).$$

$$i \partial_s A = -\frac{1}{2} w''(q) \partial_\xi^2 A + \textcolor{blue}{h} |A|^2 A, \quad \textcolor{blue}{c} = \omega'(q)$$

Focusing case $w''(q) h < 0$:

$$u_n(t) = \epsilon \alpha \frac{e^{i(qn - (\omega + O(\epsilon^2))t)}}{\cosh(\epsilon(n - \textcolor{blue}{c} t))} + c.c. + O(\epsilon^2)$$

$\omega'(k\pi) = c = 0 \Rightarrow$ breather

$\omega'(q) \neq 0 \Rightarrow$ pulsating solitary wave (travelling breather)

References :

Error bounds for KdV approximation (over long finite times) :

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D.Bambusi and A.Ponno, Comm. Math. Phys. 264 (2006), 539-561.

Error bounds for NLS approximation :

J.Giannoulis, A.Mielke, Dispersive evolution of pulses in oscillator chains with general interaction potentials, Discr. Cont. Dyn. Syst. B 6 (2006), 493-523.

G.Schneider, Bounds for the NLS approximation of the FPU system, Appl. Anal. 89 (2010).

Error bounds for NLS and KdV approximations in periodic 1D lattices :

M.Chirilus-Bruckner et al, Discr. Cont. Dyn. Syst. S 5 (2012), 879-901.

NLS approximation for granular chains with precompression :

G.J., P.Kevrekidis, J.Cuevas, Physica D 251 (2013), 39-59

Breathers in nonlinear lattices :

S.Flach, A.Gorbach, Physics Reports 467 (2008), 1-116.

S.Flach, C.R.Willis, Physics Reports 295 (1998), 181-264.

Extension 1 : exact breathers (static/traveling) close to NLS approximation

$$\frac{d^2 u_n}{dt^2} + W'(u_n) = V'(u_{n+1} - u_n) - V'(u_n - u_{n-1}), \quad n \in \mathbb{Z}$$

Approximate solutions in the NLS limit : $u_n(t) = \epsilon \alpha \frac{e^{i(\textcolor{blue}{q}n - \omega t)}}{\cosh(\epsilon(n - \textcolor{blue}{c}t))} + c.c. + O(\epsilon^2)$

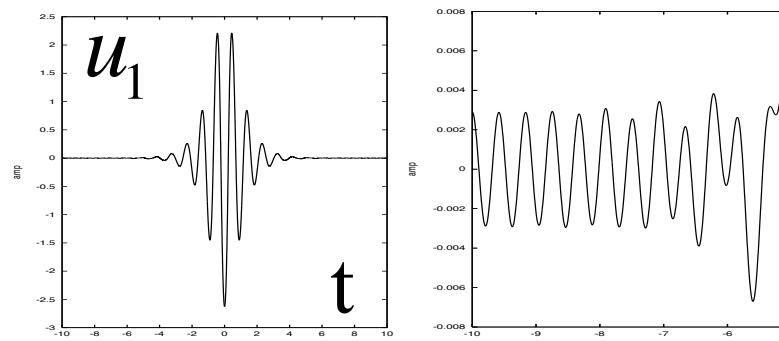
⇒ Exact solutions of the atomic chain close to the NLS approximate solutions ?

- Not obvious ! Counterexample of the semilinear wave equations on \mathbb{R} : no exact breather solution except for special potentials (Kichenassamy 91, Birnir 94)

- numerical computation of a traveling breather :

(Sire, G.J. '05)

$W(x)=1-\cos(x)$, V harmonic



in general, small nondecaying oscillatory tail

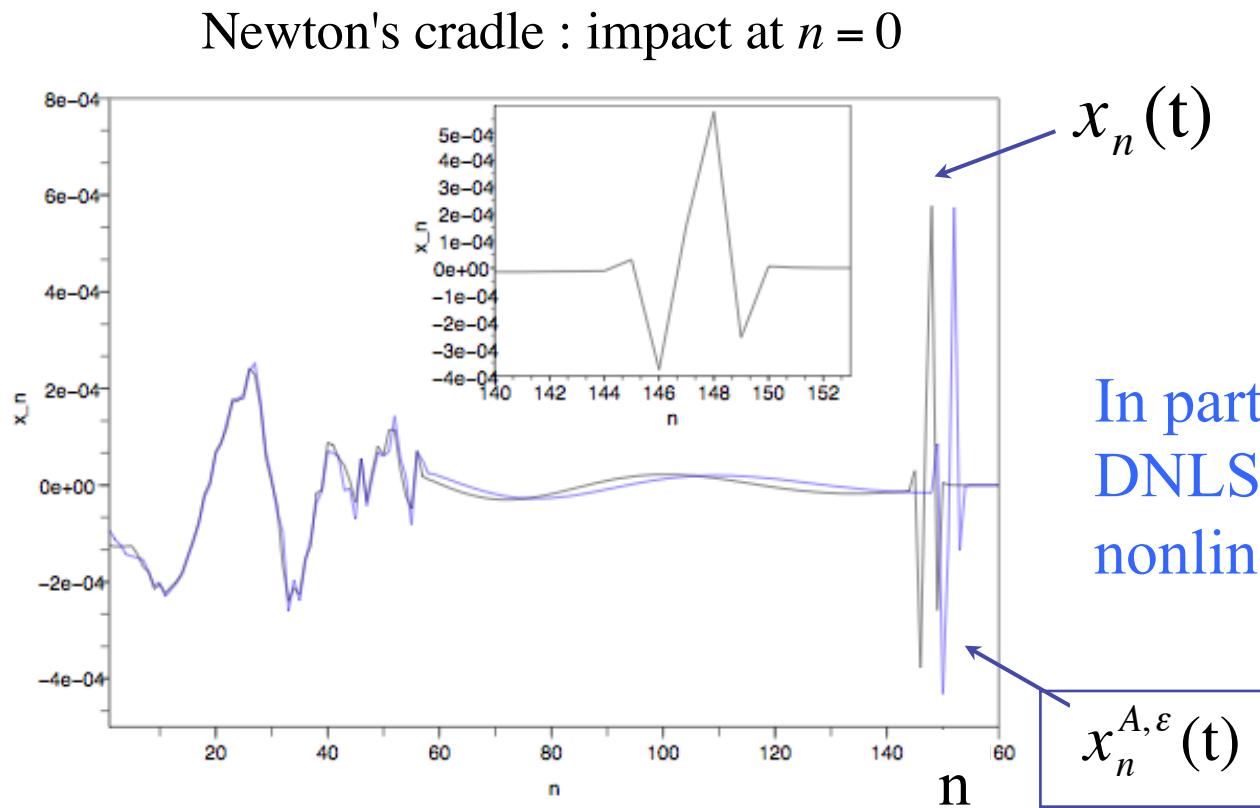
Exact solutions can be obtained using center manifold reduction and spatial dynamics, in the discrete (part II) or continuous (part III) settings

Extension 2 : discrete NLS equations

Example : cubic DNLS $i\partial_\tau A_n = \gamma(A_{n+1} - 2A_n + A_{n-1}) + h A_n |A_n|^2$

Ansatz : $x_n^{A,\varepsilon}(t) = \varepsilon \operatorname{Re}(A_n(\varepsilon^2 t)e^{it}) + \text{h.o.t.}$

⇒ approx. general small initial conditions, captures more phenomena
(wave interactions, pinning), several continuum approx., inhomogeneities



$$\begin{aligned}x_n(0) &= 0, \dot{x}_n(0) = 0 \quad \forall n \geq 1 \\ \dot{x}_0(0) &= O(\varepsilon), \varepsilon = 10^{-3} \\ \varepsilon^{1/2} t &= 415\end{aligned}$$

In part IV : modification of
DNLS and NLS for strongly
nonlinear interactions

Evolves under $\text{discrete p-Schrödinger equation}$